

### Physics 880.06: Problem Set 7

Note: please turn these problems into the mailbox of the grader, Wissam Al-Saidi, by the beginning of class on Thursday, June 6.

1. (20 pts.) As stated in class, the *anisotropic Ginzburg-Landau free energy density* is given by the following expression:

$$f = f_{n0} + \alpha|\psi|^2 + \frac{\beta}{2}|\psi|^4 + \frac{1}{2m_{ab}} \left| \left( -i\hbar\nabla - \frac{e^*\mathbf{A}}{c} \right)_{\perp} \psi \right|^2 + \frac{1}{2m_c} \left| \left( -i\hbar\nabla_z - \frac{e^*A_z}{c} \right) \psi \right|^2 + \frac{|\mathbf{B}|^2}{8\pi}. \quad (1)$$

Here  $\alpha$  and  $\beta$  are scalar coefficients,  $\psi$  is the complex scalar order parameter,  $f$  is the free energy per unit volume,  $f_{n0}$  is the normal state free energy density,  $\mathbf{A}$  is the vector potential,  $\mathbf{B}$  is the magnetic induction, and  $m_{ab}$  and  $m_c$  are the effective masses. We also assume that the coefficient  $\alpha$  has the temperature dependence

$$\alpha = \alpha'[T/T_c(0) - 1], \quad (2)$$

where  $\alpha'$  is a positive constant and  $T_c(0)$  is the superconducting transition temperature at zero field.

The two Ginzburg-Landau equations obtained from this free energy are

$$\alpha\psi + \beta|\psi|^2\psi + \frac{1}{2m_{ab}} \left( -i\hbar\nabla - \frac{e^*\mathbf{A}}{c} \right)_{\perp}^2 \psi + \frac{1}{2m_c} \left( -i\hbar\nabla_z - \frac{e^*A_z}{c} \right)^2 \psi = 0 \quad (3)$$

and

$$\mathbf{J} = \frac{e^*}{2m_{ab}} \left[ \psi^* \left( -i\hbar\nabla - \frac{e^*\mathbf{A}}{c} \right)_{\perp} \psi + c.c. \right] + \frac{e^*}{2m_c} \left[ \psi^* \left( -i\hbar\nabla_z - \frac{e^*A_z}{c} \right) \psi + c.c. \right] \hat{z}. \quad (4)$$

- (a). (10 pts.) By linearizing Eq. ?? with respect to  $\psi$ , and finding the energy of the lowest Landau level, find the transition temperature

$T_c(B)$  for (i)  $\mathbf{B}$  parallel to the  $z$  axis; (ii)  $\mathbf{B}$  parallel to the  $x$  axis. Assume that the field  $\mathbf{B}$  is specified. Your answers should involve the effective masses  $m_{ab}$  and  $m_c$ .

(b). (10 pts.) Assuming that the order parameter  $\psi$  is independent of position, obtain an expression for the current density  $\mathbf{J}$  in terms of the vector potential  $\mathbf{A}$ . From this equation, and Ampere's Law, find a differential equation which describes the variation of the magnetic field with position, assuming that  $\mathbf{B} = B(x)\hat{z}$ . What is the penetration depth corresponding to this field variation?

Note: in the above problem, I am using the directions  $x$ ,  $y$ , and  $z$  interchangeably with  $a$ ,  $b$ , and  $c$ .

- In this problem, you will make a very rough estimate of the rate of quantum tunneling of the phase out of a small Josephson junction, using the WKB approximation. As stated in class, if one has a particle of mass  $m$  and energy  $E$  in a one-dimensional well  $V(x)$ , then the probability per unit time that the particle will escape from the well is approximately

$$w = \frac{\omega_0}{2\pi} \exp \left[ -\frac{2}{\hbar} \int_{x_1}^{x_2} p dx \right]. \quad (5)$$

Here,  $p = \sqrt{2m[V(x) - E]}$  is the particle momentum, and  $x_1$  and  $x_2$  are the lower and upper limits of the classically forbidden region for a particle of energy  $E$ . Finally,  $\omega_0$  is the oscillation frequency for a particle in the well, so that  $\omega_0/(2\pi)$  is the frequency at which the particle "attempts" to escape from the well.

(a). For a phase "particle" in a Josephson junction, the Hamiltonian is

$$H = -E_J \cos \phi - E_C \frac{\partial^2}{\partial \phi^2}, \quad (6)$$

where  $E_C = (2e)^2/(2C)$  and  $C$  is the junction capacitance. Write out eq. (6) explicitly for this case. What is the frequency  $\omega_c$  if the particle is near the bottom of the well?

(b). Suppose that the energy is very close to the potential energy minimum ( $E \sim -E_J$ ). What is the width of the classically forbidden region in this case (assuming tunneling in the positive  $\phi$  direction)? Calculate  $w$  in this case, in terms of  $E_J$  and  $E_C$ .

3. In Problem Set 4, you considered the properties of a two-dimensional superconductor in which the excitation spectrum satisfies

$$E(\mathbf{k}) = \sqrt{C_1(\delta k)_1^2 + C_2(\delta k)_2^2}, \quad (7)$$

where  $\delta k$  is a two-component vector, with components  $(\delta k)_1$  and  $(\delta k)_2$ , describing the distance of the  $\mathbf{k}$  vector from the node point.

In this problem, you will consider this same excitation spectrum in the presence of a magnetic field  $\mathbf{B}$  perpendicular to the plane.

To calculate the spectrum, make the substitution  $\delta \mathbf{k} \rightarrow -i\hbar \nabla$ , where  $\nabla$  is the two-dimensional gradient operator. In the presence of a vector potential  $\mathbf{A}$ , the correct substitution is  $\delta \mathbf{k} \rightarrow -i\hbar \nabla - \frac{e^* \mathbf{A}}{c}$ .

- (a). What is the Hamiltonian in the presence of a  $\mathbf{B}$  field  $\mathbf{B} = B\hat{z}$ ?
- (b). Show that the eigenvalues of this spectrum are given by  $\mathbf{E}_n = K\sqrt{(n + \frac{1}{2})B}$ ,  $n = 0, 1, \dots$ , and find the constant  $K$  in terms of  $C_1$  and  $C_2$ . [Thus, there is a gap in the spectrum proportional to  $\sqrt{B}$ .]

4. In one model for Cooper pairs in the high- $T_c$  superconductors, the center-of-mass wave function for the pairs (in a two-dimensional  $\text{CuO}_2$  layer) is said to be a  $d_{x^2-y^2}$  state. That is, it is made of a certain linear combination of Cu 3d states which has an angular dependence of the form  $(x^2 - y^2)/r^2$ . The full center-of-mass wave function thus has the form  $f(r)(x^2 - y^2/r^2)$ , where  $f(r)$  depends only on the radial coordinate  $r$ .

Look up the spherical harmonics  $Y_{2m}$  which describe the angular dependence of a d-state, and show that there is a specific linear combination of these which is proportional to  $(x^2 - y^2)/r^2$ .

5. In class I gave a way of estimating the energy to create a vortex in a two-dimensional Josephson junction array of linear dimension  $L$ . In this problem you will do a similar calculation to estimate this energy for a thin, homogeneous superconducting film. Let the film have thickness  $d$ , and let it have a superconducting order parameter  $\psi$ . The Ginzburg-Landau free energy per unit volume is (ignoring the field energy)

$$f - f_{n0} = \alpha|\psi|^2 + \frac{\beta}{2}|\psi|^4 + \frac{1}{2m}|\hbar \nabla \psi|^2. \quad (8)$$

Here  $\alpha$ ,  $\beta$ , and  $m$  are the usual Ginzburg-Landau free energy parameters, and  $f_{n0}$  is the free energy density of the reference normal state.

Assume that the vortex state is characterized by an order parameter

$$\psi = \psi_0 e^{i\theta} \quad (9)$$

where  $\psi_0 = \sqrt{-\alpha/\beta}$  and  $\theta$  is the polar angle. Thus, the phase of the superconducting order parameter satisfies  $\phi = \theta$ .

Calculate the extra energy associated with the presence of a vortex. Do the calculation by integrating the extra free energy from some minimum distance  $a$  from the vortex to some maximum distance  $L$  (comparable to the linear dimension of the film). Note that in this approximation only the gradient term contributes to the extra free energy. You should get a result of the form

$$E_v = C \ln(L/a), \quad (10)$$

and find  $C$ . Express  $C$  in terms of  $\alpha$ ,  $\beta$ ,  $m$ , and the film thickness  $d$ , and hence in terms of the penetration depth  $\lambda$ , coherence length  $\xi$ , and thermodynamic critical field  $H_c$ .

6. *This problem is for edification only: not to be turned in.*

In class we discussed a SQUID consisting of two Josephson junctions, with critical currents  $I_{c1}$  and  $I_{c2}$ . We showed, for the case  $I_{c1} = I_{c2}$ , that the critical current of the SQUID was a periodic function of the flux  $\Phi$  through the loop with a period  $\Phi_0$ , where  $\Phi_0 = hc/2e$  is the flux quantum, and maxima at  $\Phi = n\Phi_0$ .

Now in high- $T_c$  superconductors with  $d_{x^2-y^2}$  order parameters, it is possible to have *two* types of junctions. One is a “zero” junction, which has current-phase relation  $I = I_c \sin \gamma$ , where  $\gamma$  is the gauge-invariant phase difference across the junction. The other is a “pi” junction, in which  $I = I_c \sin(\gamma - \pi) = -I_c \sin \gamma$ , where  $I_c$  is a positive number. Whether a particular junction is a zero or a pi junction depends on exactly how the two crystals which make up the junction are cut (i. e. what crystallographic face is parallel to the surface).

Show that if one has a SQUID made out of two junctions, one a zero junction and one a pi junction, characterized by the same  $I_c$ , then the

critical current of the loop is periodic in the flux through the junction with period  $\Phi_0$ , and maxima at  $(n + 1/2)\Phi_0$  where  $n$  is a positive or negative integer.