

**Problem 1.**

A spin- $\frac{1}{2}$  particle with spin up in the direction of the spherical angles  $\theta$  and  $\phi$  has the normalized spin wavefunction

$$|\theta, \phi\rangle = \cos(\theta/2)e^{-i\phi/2}|\uparrow\rangle + \sin(\theta/2)e^{+i\phi/2}|\downarrow\rangle = \begin{pmatrix} \cos(\theta/2)e^{-i\phi/2} \\ \sin(\theta/2)e^{+i\phi/2} \end{pmatrix}.$$

Some useful properties of the spin operators for spin  $\frac{1}{2}$  are given on the last page of this exam.

(A) The spin component  $S_z$  is measured for the spin state  $|\theta, \phi\rangle$ . What are the possible values of  $S_z$ ? For each value, what is the probability of obtaining that value?

<u>values of <math>S_z</math></u>	<u>probability</u>
$+\frac{1}{2}\hbar$	$ \cos \frac{\theta}{2} e^{-i\phi/2} ^2 = \cos^2 \frac{\theta}{2}$
$-\frac{1}{2}\hbar$	$ \sin \frac{\theta}{2} e^{+i\phi/2} ^2 = \sin^2 \frac{\theta}{2}$

(B) A measurement of  $S_y$  in the spin state  $|\theta, \phi\rangle$  gives the value  $+\frac{1}{2}\hbar$ . What is the spin state after that measurement? Express it both as a 2-component spinor and as a linear combination of  $|\uparrow\rangle$  and  $|\downarrow\rangle$ .

measurement collapses wavefunction  
to eigenstate of  $S_y$  with eigenvalue  $+\frac{1}{2}\hbar$ :

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} = \frac{1}{\sqrt{2}}|\uparrow\rangle + \frac{i}{\sqrt{2}}|\downarrow\rangle$$

(C) Suppose a measurement of  $S_x$  in the spin state  $|\theta, \phi\rangle$  that gives the value  $+\frac{1}{2}\hbar$  is followed by a measurement of  $S_z$ . What are the possible values of  $S_z$  and what are the probabilities for each value?

measurement collapses wavefunction  
to eigenstate of  $S_x$  with eigenvalue  $+\frac{1}{2}\hbar$ :

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}}|\uparrow\rangle + \frac{1}{\sqrt{2}}|\downarrow\rangle$$

<u>values of <math>S_z</math></u>	<u>probability</u>
$+\frac{1}{2}\hbar$	$(\frac{1}{\sqrt{2}})^2 = \frac{1}{2}$
$-\frac{1}{2}\hbar$	$(\frac{1}{\sqrt{2}})^2 = \frac{1}{2}$

(D) If the spin is in a magnetic field pointing along the  $x$  axis, the Hamiltonian for the spin state has the form

$$H = \omega S_x,$$

where  $\omega$  is a constant. The Schrodinger equation for the spin state is  $i\hbar \frac{d}{dt} |\psi(t)\rangle = H |\psi(t)\rangle$ . Express this as a matrix equation for the 2-component spinor  $\begin{pmatrix} \psi_1(t) \\ \psi_2(t) \end{pmatrix}$ .

$$i\hbar \frac{d}{dt} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{\hbar\omega}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

$$H = \omega \frac{1}{2} \tau S_z = \frac{\hbar\omega}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

(E) The time-derivative of the expectation value of  $S_y$  in the normalized spin state  $|\psi(t)\rangle$  can be expressed as

$$\frac{d}{dt} \langle \psi | S_y | \psi \rangle = -\frac{i}{\hbar} \langle \psi | [S_y, H] | \psi \rangle.$$

Derive this from the Schrodinger equation for  $|\psi\rangle$ .

$$\begin{aligned} \frac{d}{dt} \langle \psi | S_y | \psi \rangle &= \left( \frac{d}{dt} \langle \psi | \right) S_y | \psi \rangle + \langle \psi | S_y \left( \frac{d}{dt} | \psi \rangle \right) \\ &= \left( \frac{i}{\hbar} \langle \psi | H \right) S_y | \psi \rangle + \langle \psi | S_y \left( -\frac{i}{\hbar} H | \psi \rangle \right) \\ &= \frac{i}{\hbar} \langle \psi | (H S_y - S_y H) | \psi \rangle \\ &= -\frac{i}{\hbar} \langle \psi | [S_y, H] | \psi \rangle \end{aligned}$$

(F) Express the time derivative of  $\langle \psi | S_y | \psi \rangle$  in terms of the expectation values of the other spin operators  $S_x$  and  $S_z$ .

$$\begin{aligned} \frac{d}{dt} \langle \psi | S_y | \psi \rangle &= -\frac{i}{\hbar} \langle \psi | [S_y, \omega S_x] | \psi \rangle \\ &= -\frac{i\omega}{\hbar} \langle \psi | [S_y, S_x] | \psi \rangle \\ &= -\frac{i\omega}{\hbar} \langle \psi | (-i S_z) | \psi \rangle \\ &= -\omega \langle \psi | S_z | \psi \rangle \end{aligned}$$

**Problem 2.**

The time-independent Schroedinger equation for the 3-dimensional harmonic oscillator is

$$-\frac{\hbar^2}{2m} \left( \left( \frac{\partial}{\partial x} \right)^2 + \left( \frac{\partial}{\partial y} \right)^2 + \left( \frac{\partial}{\partial z} \right)^2 \right) \psi + \frac{1}{2} m \omega^2 (x^2 + y^2 + z^2) \psi = E \psi.$$

The solution to the Schroedinger equation for the 1-dimensional harmonic oscillator is given on the last page of this exam.

(A) For solutions of the form  $\psi(x, y, z) = f(x)g(y)h(z)$ , the Schroedinger equation can be expressed as

$$-\frac{\hbar^2}{2m} \left( \frac{f''(x)}{f(x)} + \frac{g''(y)}{g(y)} + \frac{h''(z)}{h(z)} \right) + \frac{1}{2} m \omega^2 (x^2 + y^2 + z^2) = E.$$

Show how this can be reduced to 3 separate differential equations in the variables  $x$ ,  $y$ , and  $z$ .

$$\left[ -\frac{\hbar^2}{2m} \frac{f''(x)}{f(x)} + \frac{1}{2} m \omega^2 x^2 \right] + \left[ -\frac{\hbar^2}{2m} \frac{g''(y)}{g(y)} + \frac{1}{2} m \omega^2 y^2 \right] + \left[ -\frac{\hbar^2}{2m} \frac{h''(z)}{h(z)} + \frac{1}{2} m \omega^2 z^2 \right] = E$$

The sum of functions of  $x$  only,  $y$  only, and  $z$  only can be equal to the constant  $E$  only if each is separately constant

$$\begin{aligned} -\frac{\hbar^2}{2m} \frac{f''(x)}{f(x)} + \frac{1}{2} m \omega^2 x^2 &= E_x & -\frac{\hbar^2}{2m} \frac{h''(z)}{h(z)} + \frac{1}{2} m \omega^2 z^2 &= E_z \\ -\frac{\hbar^2}{2m} \frac{g''(y)}{g(y)} + \frac{1}{2} m \omega^2 y^2 &= E_y & \text{where } E_x + E_y + E_z &= E \end{aligned}$$

(B) The differential equation in the variable  $z$  can be written in the form

$$-\frac{\hbar^2}{2m} \left( \frac{d}{dz} \right)^2 h + \frac{1}{2} m \omega^2 z^2 h = E_3 h.$$

What are the possible eigenvalues  $E_3$ ? (Be sure to specify the possible values of any quantum number you introduce.) What are the eigenfunctions  $h(z)$  for each eigenvalue?

eigenvalues:  $E_3 = (n + \frac{1}{2}) \hbar \omega$ ,  $n = 0, 1, 2, \dots$

eigenfunctions:  $H_n(\beta z) e^{-\beta^2 z^2 / 2}$ ,  $\beta = \sqrt{m\omega/\hbar}$

(C) If one looks for solutions of the time-independent Schroedinger equation of the form  $\psi(r, \theta, \phi) = R(r)Y_{\ell m}(\theta, \phi)$ , where  $Y_{\ell m}(\theta, \phi)$  is a spherical harmonic, it reduces to the radial Schroedinger equation  *$H_n$  is Hermite polynomial of degree  $n$*

$$-\frac{\hbar^2}{2m} \frac{1}{r} \left( \frac{\partial}{\partial r} \right)^2 r R + \frac{\ell(\ell+1)\hbar^2}{2mr^2} R + \frac{1}{2} m \omega^2 r^2 R = ER.$$

This equation is particularly simple if  $\ell = 0$ . In this case, what are the possible eigenvalues  $E$ ? What are the eigenfunctions  $R(r)$  for each eigenvalue?

eigenvalues:  $E = (n + \frac{1}{2}) \hbar \omega$ ,  $n = 0, 1, 2, \dots$

eigenfunctions:  $\frac{1}{r} H_n(\beta r) e^{-\beta^2 r^2 / 2}$ ,  $\beta = \sqrt{m\omega/\hbar}$

*$H_n$  is Hermite polynomial of degree  $n$*

(D) If the Schrodinger equation is solved by separating the Cartesian coordinates  $x$ ,  $y$ , and  $z$ , the energy eigenvalues are determined by three quantum numbers  $n_x$ ,  $n_y$ , and  $n_z$ , each of which has values  $0, 1, 2, \dots$ :

$$E_{n_x, n_y, n_z} = (n_x + n_y + n_z + \frac{3}{2})\hbar\omega.$$

The energy eigenstates  $|n_x, n_y, n_z\rangle$  can be labelled by those three quantum numbers. What are the energies for the first three energy levels? (The ground state is the first energy level.) List all the energy eigenstates for the third energy level.

energy levels:  $\frac{3}{2}\hbar\omega, \frac{5}{2}\hbar\omega, \frac{7}{2}\hbar\omega, \dots$

3<sup>rd</sup> energy level:  $|2, 0, 0\rangle, |0, 2, 0\rangle, |0, 0, 2\rangle,$   
 $|1, 1, 0\rangle, |1, 0, 1\rangle, |0, 1, 1\rangle$

(E) If the Schrodinger equation is solved by separating the spherical coordinates  $r$ ,  $\theta$ , and  $\phi$ , the energy eigenvalues are determined by the angular momentum quantum number  $\ell$  and a radial quantum number  $n$  whose values are  $0, 1, 2, \dots$ :

$$E_{n, \ell} = (2n + \ell + \frac{3}{2})\hbar\omega,$$

The energy eigenstates  $|n, \ell, m\rangle$  can be labelled by  $n$ ,  $\ell$ , and the other angular momentum quantum number  $m$ . List all the energy eigenstates for the third energy level  $\frac{7}{2}\hbar\omega$ .

3<sup>rd</sup> energy level:  $|1, 0, 0\rangle$

$|0, 2, -2\rangle, |0, 2, -1\rangle, |0, 2, 0\rangle, |0, 2, 1\rangle, |0, 2, 2\rangle$

(F) The differential operator

$$A = \frac{1}{\sqrt{2m}} \left( m\omega x + \hbar \frac{\partial}{\partial x} \right)$$

satisfies the commutation relation  $[H, A] = -\hbar\omega A$ , where  $H$  is the Hamiltonian. Suppose  $|\psi\rangle$  is an eigenstate of  $H$  with eigenvalue  $E$ . Use the commutation relation to show that  $A|\psi\rangle$  satisfies

$$H(A|\psi\rangle) = (E - \hbar\omega)(A|\psi\rangle).$$

$$HA = AH - \hbar\omega A$$

$$HA|\psi\rangle = A \underbrace{H|\psi\rangle}_{E|\psi\rangle} - \hbar\omega A|\psi\rangle$$

$$HA|\psi\rangle = E A|\psi\rangle - \hbar\omega A|\psi\rangle \quad H(A|\psi\rangle) = (E - \hbar\omega) A|\psi\rangle$$

(G) What can you conclude about the state  $A|\psi\rangle$  from the equation derived in part (F)?

either  $A|\psi\rangle = 0$

or  $A|\psi\rangle$  is an eigenstate of  $H$  with eigenvalue  $E - \hbar\omega$

### Problem 3.

A neutral atom has a single valence electron that is bound in a quantum state with orbital angular momentum quantum number  $\ell = 1$ .

(A) The orbital angular momentum operator for the  $\ell = 1$  electron is  $\vec{L}$ . What are the possible eigenvalues of  $\vec{L}^2$  and  $L_z$ ?

$$\text{eigenvalue of } \vec{L}^2: \ell(\ell+1)\hbar^2 = 2\hbar^2$$

$$\text{eigenvalues of } L_z: m_\ell \hbar, m_\ell = -1, 0, +1$$

(B) The spin operator for the electron is  $\vec{S}$ . What is the value of the spin quantum number  $s$  for the electron? What are the possible eigenvalues of  $\vec{S}^2$  and  $S_z$ ?

$$\text{spin quantum number: } s = \frac{1}{2}$$

$$\text{eigenvalue of } \vec{S}^2: s(s+\frac{1}{2})\hbar^2 = \frac{3}{4}\hbar^2$$

$$\text{eigenvalues of } S_z: m_s \hbar, m_s = +\frac{1}{2}, -\frac{1}{2}$$

(C) The magnetic moment vector for the neutral atom is that of its  $\ell = 1$  valence electron:

$$\vec{\mu} = -\frac{e}{2m_e} (\vec{L} + 2\vec{S}).$$

(I have set  $g = 2$ , although it is actually 2.002.) What are the possible eigenvalues of  $\mu_z$  for this atom?

$$\mu_z = -\frac{e}{2m_e} (L_z + 2S_z)$$

$$\text{eigenvalues: } -\frac{e}{2m_e} (m_\ell \hbar + 2m_s \hbar) = -\frac{e\hbar}{2m_e} (m_\ell + 2m_s)$$

$$m_\ell = -1, 0, +1, m_s = -\frac{1}{2}, +\frac{1}{2}$$

(D) A Stern-Gerlach apparatus oriented along the  $z$  axis splits a beam of neutral atoms into parallel beams that are displaced in  $z$  by a distance proportional to  $\mu_z$ . Suppose the beam consists of the atoms with an  $\ell = 1$  valence electron considered above. How many parallel beams emerge from the Stern-Gerlach apparatus and what are their values of  $\mu_z$ ?

$$\text{distinct eigenvalue of } \mu_z: -\frac{e\hbar}{2m_e} m, m = -2, -1, 0, +1, +2$$

$\Rightarrow$  5 parallel beams

(E) The total angular momentum operator for the  $\ell = 1$  valence electron is  $\vec{J} = \vec{L} + \vec{S}$ . What are the possible eigenvalues of  $\vec{J}^2$  and  $J_z$ ?

$$\vec{J}^2: j(j+1)\hbar^2, \quad j = \frac{1}{2}, \frac{3}{2} \quad \implies \quad \frac{3}{4}\hbar^2, \frac{15}{4}\hbar^2$$

$$J_z: m_j \hbar, \quad m_j = -j, \dots, +j \quad \implies \quad \begin{array}{l} -\frac{1}{2}\hbar, +\frac{1}{2}\hbar \\ -\frac{3}{2}\hbar, -\frac{1}{2}\hbar, +\frac{1}{2}\hbar, +\frac{3}{2}\hbar \end{array} \quad \begin{array}{l} \text{if } j = \frac{1}{2} \\ j = \frac{3}{2} \end{array}$$

(F) The raising operator for  $J_z$  is  $J_+ = J_x + iJ_y$ . Use the angular momentum algebra to derive the commutation relation  $[J_z, J_+] = \hbar J_+$ .

$$\begin{aligned} [J_z, J_+] &= [J_z, J_x + iJ_y] = [J_z, J_x] + i[J_z, J_y] \\ &= i\hbar J_y + i(-i\hbar J_x) = \hbar(J_x + iJ_y) = \hbar J_+ \end{aligned}$$

One basis for the angular momentum states of the  $\ell = 1$  valence electron consists of the products  $|m_\ell\rangle |m_s\rangle$  of the normalized eigenstates of  $J_z$  and  $S_z$ . Another basis consists of the normalized eigenstates  $|j, m_j\rangle$  of  $\vec{J}^2$  and  $J_z$ . The raising operators for the three angular momenta are

$$\begin{aligned} L_+ |m_\ell\rangle &= \sqrt{2 - m_\ell(m_\ell + 1)} |m_\ell + 1\rangle, \\ S_+ |m_s\rangle &= \sqrt{\frac{3}{4} - m_s(m_s + 1)} |m_s + 1\rangle, \\ J_+ |j, m_j\rangle &= \sqrt{j(j+1) - m_j(m_j + 1)} |j, m_j + 1\rangle. \end{aligned}$$

(G) Given that  $|\frac{3}{2}, -\frac{3}{2}\rangle = |-1\rangle |-\frac{1}{2}\rangle$ , use the raising operators to express  $|\frac{3}{2}, -\frac{1}{2}\rangle$  in terms of the basis  $|m_\ell\rangle |m_s\rangle$ .

$$J_+ |\frac{3}{2}, -\frac{3}{2}\rangle = \sqrt{\frac{3}{2} \cdot \frac{5}{2} - (-\frac{3}{2})(-\frac{1}{2})} |\frac{3}{2}, -\frac{1}{2}\rangle = \sqrt{3} |\frac{3}{2}, -\frac{1}{2}\rangle$$

$$L_+ |-1\rangle = \sqrt{1 \cdot 2 - (-1) \cdot 0} |0\rangle = \sqrt{2} |0\rangle$$

$$S_+ |-\frac{1}{2}\rangle = \sqrt{\frac{1}{2} \cdot \frac{3}{2} - (-\frac{1}{2}) \cdot \frac{1}{2}} |+\frac{1}{2}\rangle = |+\frac{1}{2}\rangle$$

$$J_+ |\frac{3}{2}, -\frac{3}{2}\rangle = (L_+ |-1\rangle) |-\frac{1}{2}\rangle + |-1\rangle (S_+ |-\frac{1}{2}\rangle)$$

$$\sqrt{3} |\frac{3}{2}, -\frac{1}{2}\rangle = (\sqrt{2} |0\rangle) |-\frac{1}{2}\rangle + |-1\rangle |+\frac{1}{2}\rangle$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \sqrt{\frac{2}{3}} |0\rangle |-\frac{1}{2}\rangle + \sqrt{\frac{1}{3}} |-1\rangle |+\frac{1}{2}\rangle$$

(H) The only states  $|m_\ell\rangle |m_s\rangle$  that are eigenstates of  $J_z = L_z + S_z$  with eigenvalue  $+\frac{1}{2}\hbar$  are  $|+1\rangle |-\frac{1}{2}\rangle$  and  $|0\rangle |+\frac{1}{2}\rangle$ . Given that

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \sqrt{\frac{1}{3}} |+1\rangle |-\frac{1}{2}\rangle + \sqrt{\frac{2}{3}} |0\rangle |+\frac{1}{2}\rangle,$$

deduce the expression for  $|\frac{1}{2}, +\frac{1}{2}\rangle$  in the basis  $|m_\ell\rangle |m_s\rangle$ .

$|\frac{1}{2}, +\frac{1}{2}\rangle$  must be a linear combination of  $|+1\rangle |-\frac{1}{2}\rangle$  and  $|0\rangle |+\frac{1}{2}\rangle$  that is orthogonal to  $|\frac{3}{2}, +\frac{1}{2}\rangle$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \sqrt{\frac{2}{3}} |+1\rangle |-\frac{1}{2}\rangle - \sqrt{\frac{1}{3}} |0\rangle |+\frac{1}{2}\rangle$$

## Spin operators for spin $\frac{1}{2}$

Spin operators:

$$S_x = \frac{1}{2}\hbar \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad S_y = \frac{1}{2}\hbar \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad S_z = \frac{1}{2}\hbar \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Commutation relations:

$$[S_x, S_y] = i\hbar S_z, \quad [S_y, S_z] = i\hbar S_x, \quad [S_z, S_x] = i\hbar S_y.$$

Square of the spin vector:

$$\vec{S}^2 \equiv S_x^2 + S_y^2 + S_z^2 = \frac{3}{4}\hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

Raising and lowering operators for  $S_z$ :

$$S_+ = \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad S_- = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Normalized eigenvectors of  $S_x$ :

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}.$$

Normalized eigenvectors of  $S_y$ :

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}, \quad \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}.$$

Normalized eigenvectors of  $S_z$ :

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

## One-dimensional harmonic oscillator

Schrodinger equation:

$$-\frac{\hbar^2}{2m} \left( \frac{d}{dx} \right)^2 \psi + \frac{1}{2} m \omega^2 x^2 \psi = E \psi.$$

Energy eigenvalues:  $E_n = (n + \frac{1}{2})\hbar\omega$ ,  
 $n = 0, 1, 2, \dots$

Eigenfunctions:  $H_n(\beta x) \exp(-\beta^2 x^2/2)$ ,  
 $\beta = \sqrt{m\omega/\hbar}$ ,  
 $H_n(t)$  is the Hermite polynomial of degree  $n$